

SEC DEADLINES

PERIOD	END DATE	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	12/31	Monday, March 2	Monday, March 16	Monday, March 30
NT 10-K	12/31	Tuesday, March 3	Tuesday, March 17	Tuesday, March 31
Late 10-K	12/31	Tuesday, March 17	Tuesday, March 31	Tuesday, April 14
Proxy Statement or 10-K/A		Wednesday, April 29	Wednesday, April 29	Wednesday, April 29
10-Q	3/31	Monday, May 11	Monday, May 11	Friday, May 15
NT 10-Q	3/31	Tuesday, May 12	Tuesday, May 12	Monday, May 18
Late 10-Q	3/31	Monday, May 18	Monday, May 18	Wednesday, May 20
10-Q	6/30	Monday August 10	Monday August 10	Friday, August 14
NT 10-Q	6/30	Tuesday, August 11	Tuesday, August 11	Monday, August 17
Late 10-Q	6/30	Monday, August 17	Monday, August 17	Wednesday, August 19
10-Q	9/30	Monday, November 9	Monday, November 9	Monday, November 16
NT 10-Q	9/30	Tuesday, November 10	Tuesday, November 10	Tuesday, November 17
Late 10-Q	9/30	Monday, November 16	Monday, November 16	Monday, November 23

SEC DEADLINE GUIDANCE

	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	60 days from year end	75 days from year end	90 days from year end
NT 10-K	15 day extension	15 day extension	15 day extension
10-Q	40 days from quarter end	40 days from quarter end	45 days from quarter end
NT 10-Q	5 day extension	5 day extension	5 day extension

SEC FILING HOLIDAYS

DATE	HOLIDAY
Wednesday, January 1	New Years Day
Monday, January 20	Martin Luther King, Jr. Day
Monday, February 17	Presidents Day
Monday, May 25	Memorial Day
Friday, July 3	Independence Day
Monday, September 7	Labor Day
Monday, October 12	Columbus Day
Wednesday, November 11	Veterans Day
Thursday, November 26	Thanksgiving
Friday, December 25	Christmas

INVESTMENT MANAGER DEADLINES

DATE	FILING
Friday, February 14	13-F
Friday, May 15	13-F
Friday, August 14	13-F
Monday, November 16	13-F

All dates assume 12/31 fiscal year end.
All deadlines and deadline extensions
shown in calendar days.

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