

## SEC DEADLINES

PERIOD	END DATE	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	12/31	Friday, March 1	Monday, March 18	Monday, April 1
NT 10-K	12/31	Monday, March 4	Tuesday, March 19	Tuesday, April 2
Late 10-K	12/31	Monday, March 18	Tuesday, April 2	Tuesday, April 16
Proxy Statement or 10-K/A		Tuesday, April 30	Tuesday, April 30	Tuesday, April 30
10-Q	3/31	Friday, May 10	Friday, May 10	Wednesday, May 15
NT 10-Q	3/31	Monday, May 13	Monday, May 13	Thursday, May 16
Late 10-Q	3/31	Wednesday, May 15	Wednesday, May 15	Monday, May 20
10-Q	6/30	Friday, August 9	Friday, August 9	Wednesday, August 14
NT 10-Q	6/30	Monday, August 12	Monday, August 12	Thursday, August 15
Late 10-Q	6/30	Wednesday, August 14	Wednesday, August 14	Monday, August 19
10-Q	9/30	Tuesday, November 12	Tuesday, November 12	Thursday, November 14
NT 10-Q	9/30	Wednesday, November 13	Wednesday, November 13	Friday, November 15
Late 10-Q	9/30	Monday, November 18	Monday, November 18	Tuesday, November 19

## SEC DEADLINE GUIDANCE

	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	60 days from year end	75 days from year end	90 days from year end
NT 10-K	15 day extension	15 day extension	15 day extension
10-Q	40 days from quarter end	40 days from quarter end	45 days from quarter end
NT 10-Q	5 day extension	5 day extension	5 day extension

## SEC FILING HOLIDAYS

DATE	HOLIDAY
Tuesday, January 1	New Years Day
Monday, January 21	Martin Luther King, Jr. Day
Monday, February 18	Presidents Day
Monday, May 27	Memorial Day
Thursday, July 4	Independence Day
Monday, September 2	Labor Day
Monday, October 14	Columbus Day
Monday, November 11	Veterans Day
Thursday, November 28	Thanksgiving Day
Wednesday, December 25	Christmas Day

## INVESTMENT MANAGER DEADLINES

DATE	FILING
Thursday, February 14	13-F
Wednesday, May 15	13-F
Wednesday, August 14	13-F
Thursday, November 14	13-F

All dates assume 12/31 fiscal year end.  
All deadlines and deadline extensions shown in calendar days.

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