

SEC DEADLINES

PERIOD	END DATE	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	12/31	Thursday, March 1	Friday, March 16	Monday, April 2
NT 10-K	12/31	Friday, March 2	Monday, March 19	Tuesday, April 3
Late 10-K	12/31	Friday, March 16	Monday, April 2	Tuesday, April 17
10-Q	3/31	Thursday, May 10	Thursday, May 10	Tuesday, May 15
NT 10-Q	3/31	Friday, May 11	Friday, May 11	Wednesday, May 16
Late 10-Q	3/31	Tuesday, May 15	Tuesday, May 15	Monday, May 21
10-Q	6/30	Thursday, August 9	Thursday, August 9	Tuesday, August 14
NT 10-Q	6/30	Friday, August 10	Friday, August 10	Wednesday, August 15
Late 10-Q	6/30	Tuesday, August 14	Tuesday, August 14	Monday, August 20
10-Q	9/30	Friday, November 9	Friday, November 9	Wednesday, November 14
NT 10-Q	9/30	Tuesday, November 13	Tuesday, November 13	Thursday, November 15
Late 10-Q	9/30	Wednesday, November 14	Wednesday, November 14	Monday, November 19

SEC DEADLINE GUIDANCE

	LARGE ACCELERATED	ACCELERATED	NON-ACCELERATED
10-K	60 days from year end	75 days from year end	90 days from year end
NT 10-K	15 day extension	15 day extension	15 day extension
10-Q	40 days from quarter end	40 days from quarter end	45 days from quarter end
NT 10-Q	5 day extension	5 day extension	5 day extension

SEC FILING HOLIDAYS

DATE	HOLIDAY
Monday, January 1	New Years Day
Monday, January 15	Martin Luther King, Jr. Day
Monday, February 19	Presidents Day
Monday, May 28	Memorial Day
Wednesday, July 4	Independence Day
Monday, September 3	Labor Day
Monday, October 8	Columbus Day
Monday, November 12	Veterans Day
Thursday, November 22	Thanksgiving Day
Tuesday, December 25	Christmas Day

INVESTMENT MANAGER DEADLINES

DATE	FILING
Wednesday, February 14	13-F
Tuesday, May 15	13-F
Tuesday, August 14	13-F
Wednesday, November 14	13-F

All dates assume 12/31 fiscal year end.
All deadlines and deadline extensions shown in calendar days.

www.scullinggroup.com | The Scullin Group is Always On-Call for those Last Minute Deadlines.